

APPENDIX B - SERVICES AND STANDARDS

APPENDIX B-1: DEFINITION OF SERVICES

The State requests that the following home and community-based services, as described and defined herein, be included under this waiver. Provider qualifications/standards for each service are set forth in Appendix B-2.

NOTE: ***IF YOU MODIFY THE STREAMLINED DEFINITION, UNDERLINE OR HIGHLIGHT ADDED TEXT AND STRIKE OUT DELETED TEXT. CHECK EITHER THE STREAMLINED DEFINITION, OR AOTHER SERVICE DEFINITION - - NOT BOTH***

a. X Case Management (also known as Care Management in Wisconsin)

_____ Services which will assist individuals who receive waiver services in gaining access to needed waiver and other State plan services, as well as needed medical, social, educational and other services, regardless of the funding source for the services to which access is gained.

Case managers shall be responsible for ongoing monitoring of the provision of services included in the individual's plan of care.

1. Yes 2. No

Case managers shall initiate and oversee the process of assessment and reassessment of the individual's level of care and the review of plans of care at such intervals as are specified in Appendices C & D of this request.

1. Yes 2. No

 X Other Service Definition (Specify):

Care/Case Management: The care manager initiates and oversees the initial comprehensive assessment process and reassessment process, the results of which are used by the care management team, participant and his/her informal supports in identifying the service needs of the participant and developing the individual's plan of care. The care manager also carries out activities that help participants and their families identify their needs and manage and gain access to necessary medical, social, rehabilitation, vocational, educational and other

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services.

b. ____ Homemaker:

____ Services consisting of general household activities (meal preparation and routine household care) provided by a trained homemaker, when the individual regularly responsible for these activities is temporarily absent or unable to manage the home and care for him or herself or others in the home. Homemakers shall meet such standards of education and training as are established by the State for the provision of these activities.

____ Other Service Definition (Specify):

c. X Personal care services: (Called Supportive Home Care in Wisconsin)

____ Assistance with eating, bathing, dressing, personal hygiene, activities of daily living. This service may include assistance with preparation of meals, but does not include the cost of the meals themselves. When specified in the plan of care, this service may also include such housekeeping chores as bed making, dusting and vacuuming, which are incidental to the care furnished, or which are essential to the health and welfare of the individual, rather than the individual's family. Personal care providers must meet State standards for this service.

1. Waiver personal care services provided by family members (Check one):

____ Payment will not be made for personal care services furnished by a member of the individual's family.

____ Personal care providers may be members of the individual's family. Payment will not be made for services furnished to a minor by the child's parent (or legally responsible step-parent), or to an individual by that person's spouse.

Justification attached. (Check one):

____ Family members who provide personal care services must meet the same standards as providers who are unrelated to the individual.

____ Standards for family members providing personal care services differ from those for other providers of this service. The

different standards are indicated in Appendix B-2.

2. Supervision of waiver personal care providers will be furnished by (Check all that apply):
- ___ A registered nurse, licensed to practice nursing in the State.
- ___ A licensed practical or vocational nurse, under the supervision of a registered nurse, as provided under State law.
- ___ Case managers
- ___ Other (Specify):
- _____
3. Frequency or intensity of supervision of waiver personal care providers (Check One):
- ___ As indicated in the plan of care
- ___ Other (Specify):
- _____
4. Relationship of waiver personal care services to State plan personal care services (Check one):
- ___ Personal care services are not provided under the approved State plan.
- ___ Personal care services are included in the State plan, but with limitations. The waived service will serve as an extension of the State plan service, in accordance with documentation provided in Appendix G of this waiver request.
- ___ Personal care services under the State plan differ from the services to be offered under the waiver. (Check all that apply.)
- ___ Service definitions differ from the State plan.
- ___ Service providers differ from State plan providers.
- X Other service definition (Specify):

Supportive Home Care Service: Supportive home care services are services to provide necessary assistance for eligible persons in order to meet their daily living needs and to insure adequate functioning at home, in small integrated alternate care settings and in the community. Supportive home care services differ from the State plan services in that they are supervised by case managers and provide services as indicated in a plan of care. Services include:

- SHC Personal Care consists of hands-on assistance with activities of daily living such as dressing/undressing, bathing, feeding, toileting, assistance with ambulation (including the use of a walker, cane, etc.), care of hair and care of teeth or dentures. Can also include preparation and cleaning of areas used during personal care activities such as the bathroom and kitchen.
- SHC Supervision are services that provide observation of the participant to assure safety, oversight direction of the participant to complete activities of daily living, instrumental activities of daily living, or companionship for the participant (does not include hands-on care as provided under personal care).
- SHC Routine Home Care Services are routine housecleaning and housekeeping activities performed for a participant that are not associated with the provision of personal care services. Routine home care consists of housework tasks that take place on a daily, weekly or other regular basis, including: washing dishes, laundry, dusting, vacuuming, meal preparation and shopping for food (where no feeding of the participant is involved); other shopping and similar activities that do not involve hands-on care of the participant.
- SHC Chore Services consist of intermittent major household tasks that must be performed seasonally or in response to some natural or other periodic event. They include: outdoor activities such as yard work and snow shoveling; indoor activities such as window washing, cleaning of attics/basements, cleaning of carpets, rugs and drapery, and refrigerator/freezer defrosting; and the necessary cleaning of vehicles, wheelchairs and other adaptive equipment and home modifications such as ramps.

Providers may be members of the individual's family other than a spouse or parent of a minor child. Family members must meet the same standards as other supportive home care providers. Costs and utilization of the component services bundled under Supportive Home Care will continue to be tracked and computed separately in cost-neutrality calculations.

d. X Respite care:

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___ Services provided to individuals unable to care for themselves; furnished on a short-term basis because of the absence or need for relief of those persons normally providing the care.

FFP will not be claimed for the cost of room and board except when provided as part of respite care furnished in a facility approved by the State that is not a private residence.

Respite care will be provided in the following location(s) (Check all that apply) The standards applicable to each provider type are indicated in Appendix B-2:

___ Individual's home or place of residence

___ Foster home

___ Medicaid certified Hospital

___ Medicaid certified NF

___ Medicaid certified ICF/MR

___ Group home

___ Licensed respite care facility

___ Other community care residential facility approved by the State that it's not a private residence (Specify type):

X Other service definition (Specify):

Respite Care: Respite care services are services provided to a waiver eligible recipient on a short term basis to relieve the person's family or other primary caregiver(s) from daily stress and care demands. Respite care may be provided in an institution such as a certified Medicaid setting (hospital, nursing home) or other licensed facility and may include payment for room and board. Respite care may also be provided in a residential facility such as a certified or licensed Adult Family Home, licensed CBRF, Child Caring Institution, children's foster home, children's treatment foster home, children's group home , certified Residential Care Apartment Complex, in the participant's own home or the home of a certified respite care provider. The cost of room and board is excluded if the service is received in a residential care apartment complex, the recipients own home or the home of a certified respite care provider.

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e. X Adult day health: (known as Adult Day Care in Wisconsin)

___ Services furnished 4 or more hours per day on a regularly scheduled basis, for one or more days per week, in an outpatient setting, encompassing both health and social services needed to ensure the optimal functioning of the individual. Meals provided as part of these services shall not constitute a "full nutritional regimen" (3 meals per day). Physical, occupational and speech therapies indicated in the individual's plan of care will be furnished as component parts of this service.

Transportation between the individual's place of residence and the adult day health center will be provided as a component part of adult day health services. The cost of this transportation is included in the rate paid to providers of adult day health services. (Check one):

1. ___ Yes 2. ___ No

X Other service definition (Specify):

Adult Day Care: Adult day care services are the provision of services for part of a day in a non-residential group setting to adults who need an enriched social or health-supportive experience or who need assistance with activities of daily living, supervision and/or protection. Services may include personal care and supervision, light meals, medical care, transportation to and from the day care site. Transportation between the individual's place of residence and the adult day health center may be provided as a component part of adult day health services. The cost of this transportation is included in the rate paid to providers of adult day health services. Qualifications of the providers of adult day health services are contained in Appendix B-2.

f. X Habilitation:

___ Services designed to assist individuals in acquiring, retaining and improving the self-help, socialization and adaptive skills necessary to reside successfully in home and community-based settings. This service includes:

_____ **Residential habilitation:** assistance with acquisition, retention, or improvement in skills related to activities of daily living, such as personal grooming and cleanliness, bed making and household chores, eating and the preparation of food, and the social and adaptive skills necessary to enable the individual to reside in a non-institutional setting. Payments for residential habilitation are not made for room and board, the cost of facility maintenance, upkeep and improvement. Payment for residential habilitation does not include payments made, directly or indirectly, to members of the individual's immediate

family. Payments will not be made for the routine care and supervision which would be expected to be provided by a family or group home provider, or for activities or supervision for which a payment is made by a source other than Medicaid. Documentation which shows that Medicaid payment does not cover these components is attached to Appendix G.

_____ **Day habilitation:** services/assistance with acquisition, retention, or improvement in self-help, socialization and adaptive skills which takes place in a non-residential setting, separate from the home or facility in which the individual resides. Services shall normally be furnished 4 or more hours per day on a regularly scheduled basis, for 1 or more days per week unless provided as an adjunct to other day activities included in an individual's plan of care.

Day habilitation services shall focus on enabling the individual to attain or maintain his or her maximum functional level and shall be coordinated with any physical, occupational, or speech therapies listed in the plan of care. In addition, day habilitation services may serve to reinforce skills or lessons taught in school, therapy, or other settings.

_____ **Prevocational services** not available under a program funded under section 110 of the Rehabilitation Act of 1973 or section 602(16) and (17) of the Individuals with Disabilities Education Act (20 U.S.C. 1401(16 and 17)). Services are aimed at preparing an individual for paid or unpaid employment, but are not job-task oriented. Services include teaching such concepts as compliance, attendance, task completion, problem solving and safety. Prevocational services are provided to persons not expected to be able to join the general work force or participate in a transitional sheltered workshop within one year (excluding supported employment programs).

Check one:

_____ Individuals will not be compensated for prevocational services.

_____ When compensated, individuals are paid at less than 50 percent of the minimum wage.

Activities included in this service are not primarily directed at teaching specific job skills, but at underlying habilitative goals, such as attention span and motor skills. All prevocational services will be reflected in the individual's plan of care as directed to habilitative, rather than explicit employment objectives.

Documentation will be maintained in the file of each individual receiving prevocational services that the service is not otherwise available under a

program funded under the Rehabilitation Act of 1973, or P.L. 94-142.

— **Educational services**, which consist of special education and related services as defined in sections (15) and (17) of the Individuals with Disabilities Education Act, to the extent to which they are not available under a program funded by IDEA. Documentation will be maintained in the file of each individual receiving educational services that the service is not otherwise available under a program funded under the Rehabilitation Act of 1973, or P.L. 94-142.

— **Supported employment services**, which consist of paid employment for persons for whom competitive employment at or above the minimum wage is unlikely, and who, because of their disabilities, need intensive ongoing support to perform in a work setting.

Supported employment is conducted in a variety of settings, particularly work sites in which persons without disabilities are employed. Supported employment includes activities needed to sustain paid work by individuals receiving waiver services, including supervision and training. When supported employment services are provided at a work site in which persons without disabilities are employed, payment will be made only for the adaptations, supervision and training required by individuals receiving waiver services as a result of their disabilities, and will not include payment for the supervisory activities rendered as a normal part of the business setting.

Supported employment services furnished under the waiver are not available under a program funded by either the Rehabilitation Act of 1973 or P.L. 94-142. Documentation will be maintained in the file of each individual receiving supported employment services that the service is not otherwise available under a program funded under the Rehabilitation Act of 1973, or P.L. 94-142.

FFP will not be claimed for incentive payments, subsidies, or unrelated vocational training expenses such as the following:

1. Incentive payments made to an employer to encourage or subsidize the employer's participation in a supported employment program;
2. Payments that are passed through to users of supported employment programs; or
3. Payments for vocational training that is not directly related to an individual's supported employment program.

Transportation will be provided between the individual's place of residence and the site of the habilitation services, or between habilitation sites (in cases where the individual receives habilitation services in more than one place) as a component part of habilitation services. **The cost of this transportation is included in the rate paid to providers of the appropriate type of habilitation services.**

1. ___ Yes 2. ___ No

 X Other service definition (Specify):

The costs and utilization of the component services bundled under Habilitation will continue to be tracked and computed separately in cost-effectiveness and cost-neutrality calculations.

Day Center Service/Treatment: Day services are the provision of regularly scheduled activities in a non-residential setting (day center) to enhance social development and to develop skills in performing activities of daily living and community living. Day services include services primarily intended for disabled adults. Transportation may be provided between the individual's place of residence and the site of the habilitation services or between habilitation sites (in cases where the individual receives habilitation services in more than one place) as a component part of habilitation services. The cost of this transportation is included in the rate paid to providers of the appropriate type of habilitation services.

Prevocational Services: Prevocational services are aimed at preparing an individual for paid or unpaid employment but which are not job task oriented. Services include teaching an individual such concepts as following directions, attending to tasks, task completion problem solving, safety and mobility training. Prevocational services furnished under the waiver are not available under a program funded under section 110 of the Rehabilitation Act of 1973 or section 602(16) and (17) of the Individuals with Disabilities Education Act (20 U.S.C. 1401(16 and 17). Transportation may be provided between the individual's place of residence and the site of the habilitation services or between habilitation sites (in cases where the individual receives habilitation services in more than one place) as a component part of habilitation services. The cost of this transportation is included in the rate paid to providers of the appropriate type of habilitation services.

Supported Employment Services: Supported Employment services are paid, competitive employment in an integrated work setting for individuals who because of their disabilities need intensive on-going support to perform in a work setting. Supported employment services include supervision, training, transportation services needed to provide intensive ongoing support, and any activity needed to sustain paid

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work by the participant, i.e., supported employment assessment, supported employment job placement, supported employment training, and supported employment follow-up. Supported employment services furnished under the waiver are not available under a program funded by either the Rehabilitation Act of 1973 or P.L. 94-142.

Transportation may be provided between the individual's place of residence and the site of the habilitation services or between habilitation sites (in cases where the individual receives habilitation services in more than one place) as a component part of habilitation services. The cost of this transportation is included in the rate paid to providers of the appropriate type of habilitation services.

Daily Living Skills Training: Daily living skills training provides training in activities of daily living such as child-rearing skills, money management, home care maintenance, food preparation and accessing and using community resources. Daily living skills training are provided in a residential setting and are intended to improve the participants ability to perform routine daily living tasks, improve ability to utilize greater independence by either training the participant or the caregiver to perform activities with greater independence.

The State requests the authority to provide the following additional services, not specified in the statute. The State assures that each service is cost-effective and necessary to prevent institutionalization. The cost neutrality of each service is demonstrated in Appendix G. Qualifications of providers are found in Appendix B-2.

g. X Environmental accessibility adaptations: (known as Home Modifications in Wisconsin)

_____ Those physical adaptations to the home, required by the individual's plan of care, which are necessary to ensure the health, welfare and safety of the individual, or which enable the individual to function with greater independence in the home, and without which, the individual would require institutionalization. Adaptations may include the installation of ramps and grab-bars, widening of doorways, modification of bathroom facilities, or installation of specialized electric and plumbing systems which are necessary to accommodate the medical equipment and supplies which are necessary for the welfare of the individual. Excluded are those adaptations or improvements to the home which are of general utility, and are not of direct medical or remedial benefit to the individual, such as carpeting, roof repair, central air conditioning, etc. Adaptations which add to the total square footage of the home are excluded from this benefit. All services shall be provided in accordance with applicable State or local building codes.

X Other service definition (Specify):

Home Modifications: Home modifications are services and items that assess the need for, arrange for and provide modifications and or improvements to a participant's living quarters to allow for community living, provide safe access to and within the home,

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reduce the risk of injury, facilitate independence and self-reliance, allow the individual to perform more ADLs or IADLs with less assistance and decrease reliance on paid staff. Home modifications must be necessary to increase self-reliance and independence, or to ensure safe, accessible means of ingress/egress to a participant's living quarters, or to otherwise provide safe access to rooms, facilities or equipment within the participant's living quarters, or adjacent buildings that are part of the residence. Home modifications may include ramps; stair lifts, wheelchair lifts, or other mechanical devices to lift persons with impaired mobility from one vertical level to another; kitchen/bathroom modifications; specialized accessibility/safety adaptations; voice-activated, light-activated, motion-activated and electronic devices that increase the participant's self-reliance and capacity to function independently. Modifications which increase the square footage, or that enhance the general livability and value, of a privately owned residence are excluded.

h. Skilled nursing: see extended state plan services

_____ Services listed in the plan of care which are within the scope of the State's Nurse Practice Act and are provided by a registered professional nurse, or licensed practical or vocational nurse under the supervision of a registered nurse, licensed to practice in the State.

_____ Other service definition (Specify):

i. X Transportation: (known as specialized transportation services in Wisconsin)

_____ Service offered in order to enable individuals served on the waiver to gain access to waiver and other community services, activities and resources, specified by the plan of care. This service is offered in addition to medical transportation required under 42 CFR 431.53 and transportation services under the State plan, defined at 42 CFR 440.170(a) (if applicable), and shall not replace them. Transportation services under the waiver shall be offered in accordance with the individual's plan of care. Whenever possible, family, neighbors, friends, or community agencies which can provide this service without charge will be utilized.

X Other service definition (Specify):

Specialized Transportation: Specialized transportation services assist in improving an individual's general mobility and ability to perform tasks independently and to gain access to waiver and other community services, activities and resources. Services can consist of material benefits such as tickets or other fare medium needed as well as direct conveyance of participants and their attendants to destinations.

j. X Specialized Medical Equipment and Supplies:

- X Specialized medical equipment and supplies to include devices, controls, or appliances, specified in the plan of care, which enable individuals to increase their abilities to perform activities of daily living, or to perceive, control, or communicate with the environment in which they live.
- This service also includes items necessary for life support, ancillary supplies and equipment necessary to the proper functioning of such items, and durable and non-durable medical equipment not available under the Medicaid State plan. Items reimbursed with waiver funds shall be in addition to any medical equipment and supplies furnished under the State plan and shall exclude those items which are not of direct medical or remedial benefit to the individual. All items shall meet applicable standards of manufacture, design and installation.

____ Other service definition (Specify):

k. ____ Chore services:

- ____ Services needed to maintain the home in a clean, sanitary and safe environment. This service includes heavy household chores such as washing floors, windows and walls, tacking down loose rugs and tiles, moving heavy items of furniture in order to provide safe entry and exit. These services will be provided only in cases where neither the individual, nor anyone else in the household, is capable of performing or financially providing for them, and where no other relative, caregiver, landlord, community/volunteer agency, or third party payor is capable of or responsible for their provision. In the case of rental property, the responsibility of the landlord, pursuant to the lease agreement, will be examined prior to any authorization of service.

____ Other service definition (Specify):

(Note: This service is included under the Supportive Home Care definition, item c page B-4.)

l. X Personal Emergency Response Systems (PERS)

- ____ PERS is an electronic device that enables certain individuals at high risk of institutionalization to secure help in an emergency. The individual may also wear a portable "help" button to allow for mobility. The system is connected to the person's phone and programmed to signal a response center once a "help" button is activated. The response center is staffed by trained professionals, as specified in Appendix B-2.

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PERS services are limited to those individuals who live alone, or who are alone for significant parts of the day, and have no regular caregiver for extended periods of time, and who would otherwise require extensive routine supervision.

X Other service definition (Specify):

Personal Emergency Response System: Personal emergency response system (PERS) is a device which provides a direct telephonic or other electronic communications link between someone living in the community and health professionals to secure immediate assistance in the event of a physical, emotional or environmental emergency.

m. ____ Adult companion services:

____ Non-medical care, supervision and socialization, provided to a functionally impaired adult. Companions may assist or supervise the individual with such tasks as meal preparation, laundry and shopping, but do not perform these activities as discrete services. The provision of companion services does not entail hands-on nursing care. Providers may also perform light housekeeping tasks which are incidental to the care and supervision of the individual. This service is provided in accordance with a therapeutic goal in the plan of care, and is not purely diversional in nature.

____ Other service definition (Specify):

n. _ Private duty nursing:

____ Individual and continuous care (in contrast to part time or intermittent care) provided by licensed nurses within the scope of State law. These services are provided to an individual at home. This service will not duplicate other nursing services provided under the waiver or the State plan.

____ Other service definition (Specify):

o. ____ Family training:

____ Training and counseling services for the families of individuals served on this waiver. For purposes of this service, "family" is defined as the persons who live with or provide care to a person served on the waiver, and may include a parent, spouse, children, relatives, foster family, or in-laws. "Family" does not include individuals who are employed to care for the consumer. Training includes instruction about treatment

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regimens and use of equipment specified in the plan of care, and shall include updates as necessary to safely maintain the individual at home. All family training must be included in the individual's written plan of care.

____ Other service definition (Specify):

p. ____ Attendant care services:

____ Hands-on care, of both a supportive and health-related nature, specific to the needs of a medically stable, physically handicapped individual. Supportive services are those which substitute for the absence, loss, diminution, or impairment of a physical or cognitive function. This service may include skilled or nursing care to the extent permitted by State law. Housekeeping activities which are incidental to the performance of care may also be furnished as part of this activity.

Supervision of attendant care providers (Check all that apply):

____ Supervision will be provided by a Registered Nurse, licensed to practice in the State. The frequency and intensity of supervision will be specified in the individual's written plan of care.

____ Supervision may be furnished directly by the individual, when the person has been trained to perform this function, and when the safety and efficacy of consumer-provided supervision has been certified and periodically re-certified in writing by a registered nurse or otherwise as provided in State law. This certification must be based on direct observation of the consumer and the specific attendant care provider, during the actual provision of care. Documentation of this certification will be maintained in the consumer's individual plan of care.

____ Other supervisory arrangements (Specify):

____ Other service definition (Specify):

q. X Adult Residential Care (Check all that apply): (known as residential services in WI)

Payments for adult residential care services are not made for room and board, items of comfort or convenience, or the costs of facility maintenance, upkeep and improvement. Payment for

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adult residential care services does not include payments made, directly or indirectly, to members of the consumer's immediate family. The methodology by which payments are calculated and made is described in Appendix G.

— **Adult foster care:** Personal care and services, homemaker, chore, attendant care and companion services medication oversight (to the extent permitted under State law) provided in a licensed (where applicable) private home by a principal care provider who lives in the home. Adult foster care is furnished to adults who receive these services in conjunction with residing in the home. The total number of individuals (including persons served in the waiver) living in the home, who are unrelated to the principal care provider, cannot exceed). Separate payment will not be made for homemaker or chore services furnished to an individual receiving adult foster care services, since these services are integral to and inherent in the provision of adult foster care services.

— **Assisted living:** Personal care and services, homemaker, chore, attendant care, companion services, medication oversight (to the extent permitted under State law), therapeutic social and recreational programming, provided in a home-like environment in a licensed (where applicable) community care facility, in conjunction with residing in the facility. This service includes 24 hour on-site response staff to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence, and to provide supervision, safety and security. Other individuals or agencies may also furnish care directly, or under arrangement with the community care facility, but the care provided by these other entities supplements that provided by the community care facility and does not supplant it.

Personalized care is furnished to individuals who reside in their own living units (which may include dually occupied units when both occupants consent to the arrangement) which may or may not include kitchenette and/or living rooms and which contain bedrooms and toilet facilities. The consumer has a right to privacy. Living units may be locked at the discretion of the consumer, except when a physician or mental health professional has certified in writing that the consumer is sufficiently cognitively impaired as to be a danger to self or others if given the opportunity to lock the door. (This requirement does not apply where it conflicts with fire code.) Each living unit is separate and distinct from each other. The facility must have a central dining room, living room or parlor, and common activity center(s) (which may also serve as living rooms or dining rooms). The consumer retains the right to assume risk, tempered only by the individual's ability to assume responsibility for that risk. Care must be furnished in a way which fosters the independence of each consumer to facilitate aging in place. Routines of care provision and service delivery must be consumer-driven to the maximum extent possible, and treat each person with dignity and respect.

However, nursing and skilled therapy services (except periodic nursing evaluations if specified above) are incidental, rather than integral to the provision of assisted living

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services. Payment will not be made for 24-hour skilled care or supervision.

FFP is not available in the cost of room and board furnished in conjunction with residing in an assisted living facility.

X Other service definition (Specify): (known as Residential Services in WI)

Residential Services:

Nursing services under any of the following residential services are provided only in accordance with the standards of Wisconsin's Nurse Practice Act.

Children's foster homes and Children's treatment foster homes are settings licensed to provide care for up to 4 children who are not related to the operator. Services provided include care, supervision, treatment, and training as needed for support in one or more aspects of living such as: health care, personal care, supervision, behavior and social supports, daily living skills training, and transportation when transportation is part of providing the service. Services may include several hours per week of nursing care per child. Room and board costs are not included in the services the child receives.

Adult family homes for 1-2 beds means a residence in which care and maintenance above the level of room and board, but not including nursing care are provided to one and two residents.

Adult family homes for 3-4 beds means a small congregate care setting where 3-4 adults who are not related to the operator reside and receive care, treatment, support, supervision and training that is provided as needed for support in one or more aspects of living such as: health care, personal care, supervision, behavior and social supports, daily living skills training and transportation when transportation is part of providing the services and that may include several hours per week of nursing care per resident. Room and board costs are not included in the services the person receives.

Community-based residential facilities (CBRF) are larger congregate care settings where 5 or more adults who are not related to the operator or administrator reside and receive care, treatment, support, supervision and training that is provided as needed for support in one or more aspects of living such as: health care, personal care, supervision, behavior and social supports, daily living skills training and transportation when transportation is part of providing the services and that may include several hours per week of nursing care per resident. Room and board costs are not included in the services the person receives.

Although bed size has historically been used as a proxy for whether a facility is really

"community-based." or more institutional in nature, the definition of a community-based residential facility for the elderly and physically disabled individuals does not include a size limit. The bed size limit is not imposed here because the HFS Executive Team has determined that for elders and persons with physical disabilities the interdisciplinary case management team which includes the consumer, can more effectively monitor the nature and quality of these facilities, rather than continuing to administratively impose bed size limits. Among the factors to be considered in such monitoring is the importance of privacy to the individual consumer and in larger facilities the extent to which the consumer's "residence" is physically separated from that of others (e.g. separate lockable door, bathroom. Kitchen facilities etc.). Each CMO network is required to include facilities which offer such physical separateness in various residential service settings including CBRF's, adult family homes, RCAC's and nursing homes.

Residential care apartment complexes (RCAC) are services provided in a homelike, community-based setting where 5 or more adults reside in their own living units that are separate and distinct from each other. Persons who reside in the facility also receive the following services: supportive services, personal assistance, nursing services, and assistance in the event of an emergency.

- r. X Other waiver services which are cost-effective and necessary to prevent institutionalization (Specify):

Adaptive Aids: Adaptive aids are controls or appliances that cannot be obtained through Wisconsin's approved MA State Plan. They are aids that enable persons to increase their abilities to perform activities of daily living or control the environment in which they live (including patient lifts, control switches, etc.). Adaptive aids are also services and material benefits that enable individuals to access, participate and function in their community. These include the purchase of vehicle modifications (such as van lifts, hand controls, equipment modifications etc. that allow the vehicle to be used by the participant to access the community), or those costs associated with the maintenance of these items.

Communication Aids: Communication aids are devices or services needed to assist with hearing, speech or vision impairments in order to access and deliver services. These services assist the individual to effectively communicate with service providers, family, friends and the general public, decrease reliance on paid staff, increase personal safety, enhance independence, and improve social and emotional well-being. Communication aids include: communicators, speech amplifiers, aids and assistive devices, interpreters, and cognitive retraining aids,(including repair) and are items not covered under the Medicaid state plan.

Consumer Education And Training: Consumer education and training services are designed to help a person with a disability develop self advocacy skills, exercise civil rights, and acquire skills needed to exercise control and responsibility over other support services. CMO's will assure that the consumer and legal guardian receive necessary information on training and educational opportunities related to identified goals.

Counseling and Therapeutic Resources: Counseling and therapeutic services are services that are needed to treat a personal, social, behavioral, cognitive, mental or alcohol or drug abuse disorder. Services are usually provided in a natural setting or service office. Services include: counseling to assist in understanding capabilities and limitations or assist in the alleviation of problems of adjustment and interpersonal relationships, recreational therapy, music therapy, nutritional counseling, medical and legal counseling, and grief counseling.

Home Delivered Meals: Home delivered meals or "meals on wheels" include the costs associated with the purchase and planning of food, supplies, equipment, labor and transportation to deliver one or two meals a day to recipients who are unable to prepare or obtain nourishing meals without assistance. This service will be provided to persons in natural or supportive service settings to promote socialization and adequate nutrition.

Housing Counseling: Housing counseling is a service which provides assistance to a recipient when acquiring housing in the community, where ownership or rental of housing is separate from service provision. The purpose of the housing counseling is to promote consumer choice and control of housing and access to housing that is affordable and promotes community inclusion. Housing counseling includes exploring both home ownership and rental options, and both individual and shared housing situations, including situations where the individual lives with his or her family. Services include counseling and assistance in identifying housing options, identifying financial resources and determining affordability, identifying preferences of location and type of housing, identifying accessibility and modification needs, locating available housing, identifying and assisting in access to housing financing, and planning for ongoing management and maintenance.

s. Extended State plan services:

The following services, available through the approved State plan, will be provided, except that the limitations on amount, duration and scope specified in the plan will not apply. Services will be as defined and described in the approved State plan. The provider qualifications listed in the plan will apply, and are hereby incorporated into this waiver request by reference. These services will be provided under the State plan until the plan limitations have been reached. Documentation of the extent of services and cost-effectiveness are demonstrated in Appendix G. (Check all that apply):

_____Physician services

_____Home health care services

_____Physical therapy services

_____Occupational therapy services

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_____ Speech, hearing-and language pathology services

_____ Prescribed drugs

 X Other State plan services (Specify):

Skilled nursing services identified as needed in a participant's plan of care, but that exceed the level of services that have been prior authorized for or are otherwise unavailable to the participant under the state plan.

t. _____ Services for individuals with chronic mental illness, consisting of :

_____ Day treatment or other partial hospitalization services (Check one):

_____ Services that are necessary for the diagnosis or treatment of the individual's mental illness. These services consist of the following elements:

- a. individual and group therapy with physicians or psychologists (or other mental health professionals to the extent authorized under State law),
- b. occupational therapy, requiring the skills of a qualified occupational therapist,
- c. services of social workers, trained psychiatric nurses, and other staff trained to work with individuals with psychiatric illness,
- d. drugs and biologicals furnished for therapeutic purposes,
- e. individual activity therapies that are not primarily recreational or diversionary,
- f. family counseling (the primary purpose of which is treatment of the individual's condition),
- g. training and education of the individual (to the extent that training and educational activities are closely and clearly related to the individual's care and treatment), and
- h. diagnostic services.

Meals and transportation are excluded from reimbursement under this service. The purpose of this service is to maintain the individual's condition and functional level and to prevent relapse or hospitalization.

_____ Other service definition (Specify):

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___ Psychosocial rehabilitation services (Check one):

___ Medical or remedial services recommended by a physician or other licensed practitioner under State law, for the maximum reduction of physical or mental disability and the restoration of maximum functional level.

Specific psychosocial rehabilitation services include the following:

- a. restoration and maintenance of daily living skills (grooming, personal hygiene, cooking, nutrition, health and mental health education, medication management, money management and maintenance of the living environment);
- b. social skills training in appropriate use of community services;
- c. development of appropriate personal support networks, therapeutic recreational services (which are focused on therapeutic intervention, rather than diversion); and
- d. telephone monitoring and counseling services.

The following are specifically excluded from Medicaid payment for psychosocial rehabilitation services:

- a. vocational services,
- b. prevocational services,
- c. supported employment services, and
- d. room and board.

___ Other service definition (Specify):

___ Clinic services (whether or not furnished in a facility) are services defined in 42 CFR 440.90.

Check one:

___ This service is furnished only on the premises of a clinic.

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____ Clinic services provided under this waiver may be furnished outside the clinic facility in accordance with 42 CFR 440.90. Services may be furnished in the following locations (Specify):

____ Other Service Definition (Specify):

APPENDIX B-2

PROVIDER QUALIFICATIONS

A. LICENSURE AND CERTIFICATION CHART

The following chart indicates the requirements for the provision of each service under the waiver. Licensure, Regulation, State Administrative Code are referenced by citation. Standards not addressed under uniform State citation (e.g., training, education, minimum age requirements) are attached.

CMO's must either: 1) use providers that meet certification requirements in this table which are derived from certification requirements for providers of Medicaid State Plan services and from the provider standards in Wisconsin's existing HCBS waivers, or 2) after approval by the State, may use providers who meet alternative standards developed by the CMO that meet or exceed the normal provider standards.

Service	Provider	License	Certification	Other Standard
Case management	Agencies, individuals, registered nurse, social worker	WI s. 441 for nurses, WI State board of Social Workers	HSS 105.51, HSS 61.46,	BA/BS degree preferably in a health or human services related field
Supportive home care - Personal Care	Agencies, individuals including family members		HFS 105.17	
Supportive home care - Supervision	Agencies, individuals including family members		HFS 105.17	
Supportive home care - Routine Home Care Services	Agencies, individuals including family members		HFS 105.17	
Supportive home care - Chore Services	Agencies, individuals including family members		HFS 105.17	
Respite care	Agency, facilities, individuals, institutional providers	HFS 38, HSS 52, 56, 57, 83, 88, 124, 132, & 134	HFS 105.17 for individual providers	

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Service	Provider	License	Certification	Other Standard
Adult day care	Agency, facility, individuals, family homes, free standing centers, multi-use facilities such as churches	HSS 61	WI s. 49.45 certification by DHFS Bureau of Quality Assurance	
Habilitation: Day Center Service/ treatment	Agencies	HSS 55, 61	CARF (Commission on Accreditation of Rehabilitation Facilities)	
Habilitation: prevocational services	Agencies	HSS 55, 56, 61	CARF	
Habilitation: supported employment services	Agencies, individuals	HSS 55, 56	CARF	
Habilitation: Daily living skills training	Agencies, individuals, facilities,	WI s. 448	HSS 55, 61.38	
Home modifications	Individuals, contractors			In accordance with local and/or state housing and building codes.
Specialized transportation	Public carriers, individuals	WI. s.85.21 HSS 61.45		Liability insurance, valid driver's license
Specialized medical equipment and supplies	Authorized vendors, DME vendors, interpreters		HFS 105.40	
Personal emergency response systems	Authorized vendors			UL standards for electronic devices or FCC regulations for telephonic devices
Residential Services: Children's foster homes	Individual, agency	HFS 38, HSS 56		
Residential Services: Adult family homes 1-2	Individual, agency	WI s. 50.032, HFS 88		
Residential Services: Adult family homes 3-4	Agency	WI s. 50.032, HFS 88		

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Service	Provider	License	Certification	Other Standard
Residential Services: Community-based residential facilities	Individual, agency	HFS 83		
Residential Services: Residential care apartment complexes	Individual, agency	HFS 89		
Adaptive aids	Authorized vendors		MA certified provider	UL standards for electronic devices
Communication aids	Authorized vendors, interpreters		MA certified provider, State or national registry for Interpreters	UL standards for electronic devices
Consumer education and training	Individuals, agencies, educational facilities			Providers have expertise in problem solving, financial management and decision-making.
Counseling and therapeutic resources	Agencies, individuals, facilities	WI. s. 457 HSS 61.35	HFS 105.22, 105.23, 105.24, 105.25,	
Home delivered meals	Agencies, individuals, restaurant, nursing home,	WI s. 50.50	HSS 196	
Housing counseling	Individuals, agencies			Providers must have expertise in housing issues relevant to the participant and may not be a provider of residential support services to the participant.
Skilled Nursing	Individual, agency	N2, N3		

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B. ASSURANCE THAT REQUIREMENTS ARE MET

The State assures that the standards of any State licensure or certification requirements are met for services or for individuals furnishing services provided under the waiver.

C. PROVIDER REQUIREMENTS APPLICABLE TO EACH SERVICE

For each service for which standards other than, or in addition to State licensure or certification must be met by providers, the applicable educational, professional, or other standards for service provision or for service providers are attached to this Appendix, tabbed and labeled with the name of the service(s) to which they apply.

When the qualifications of providers are set forth in State or Federal law or regulation, it is not necessary to provide copies of the applicable documents. However, the documents must be on file with the State Medicaid agency, and the licensure and certification chart at the head of this Appendix must contain the precise citation indicating where the standards may be found.

D. FREEDOM OF CHOICE

The State assures that each individual found eligible for the waiver will be given free choice of all qualified providers of each service included in his or her written plan of care.

APPENDIX B-3

KEYS AMENDMENT STANDARDS FOR BOARD AND CARE FACILITIES

KEYS AMENDMENT ASSURANCE:

The State assures that all facilities covered by section 1616(e) of the Social Security Act, in which home and community-based services will be provided, are in compliance with applicable State standards that meet the requirements of 45 CFR Part 1397 for board and care facilities.

APPLICABILITY OF KEYS AMENDMENT STANDARDS:

Check one:

☐ Home and community-base services will not be provided in facilities covered by section 1616(e) of the Social Security Act. Therefore, no standards are provided.

☒ A copy of the standards applicable to each type of facility identified above is maintained by the Medicaid agency.

In WI facilities covered by section 1616(e) are called Community-based Residential Facilities

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(CBRFs) and are regulated under HFS 83.